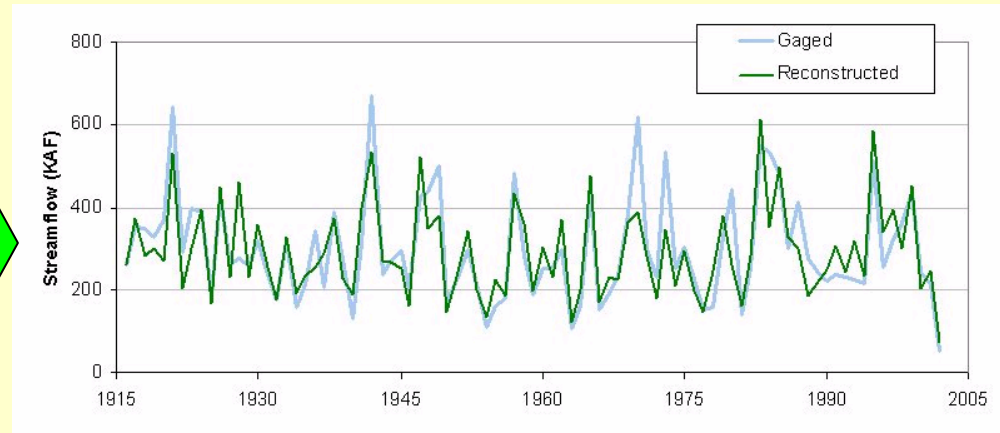
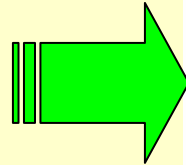


# Tree-ring reconstructions of streamflow and their use in water management



US Bureau of Reclamation Upper Colorado Regional Office,  
March 27, 2008

**Jeff Lukas**



INSTAAR, University of Colorado  
and Western Water Assessment



# Acknowledgements

## ***Workshop:***

Heather Patno and Reclamation

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Denver Water, Hydrosphere Resource Consultants, Northern Colorado Water Conservancy District, Rio Grande Water Conservation District, CA Dept Water Resources, US Bureau of Reclamation, US Geological Survey, City of Westminster, Wright Water Engineering

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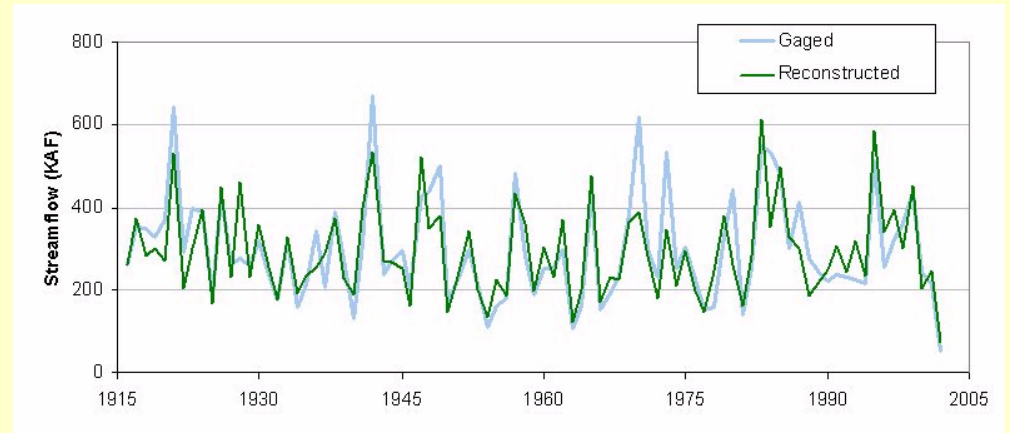
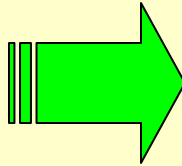
NOAA Office of Climate Programs: Western Water Assessment and Climate Change Data and Detection (GC02-046); Denver Water; US Geological Survey; US Bureau of Reclamation

# Outline

- Why use tree-ring reconstructions (paleohydrology)?
- Generating streamflow reconstructions from tree rings
- How these records are being used in water management

# Part 1:

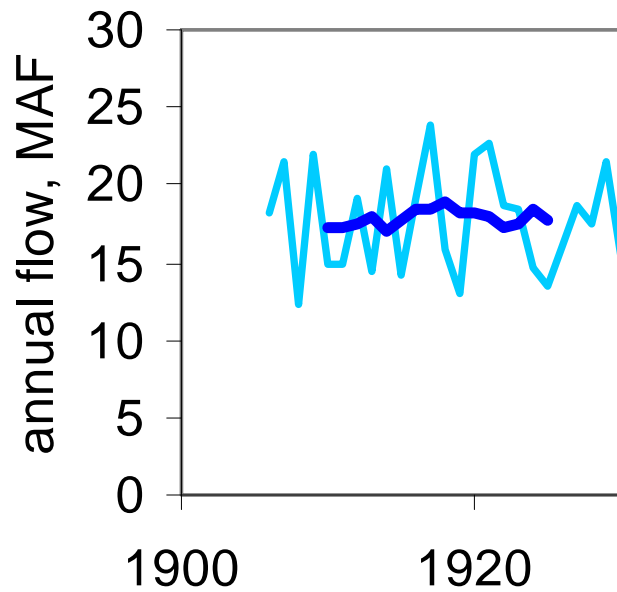
## Why use tree-ring reconstructions (paleohydrology)?



# How much “hydrologic experience” is enough?

## *Colorado River at Lees Ferry, AZ*

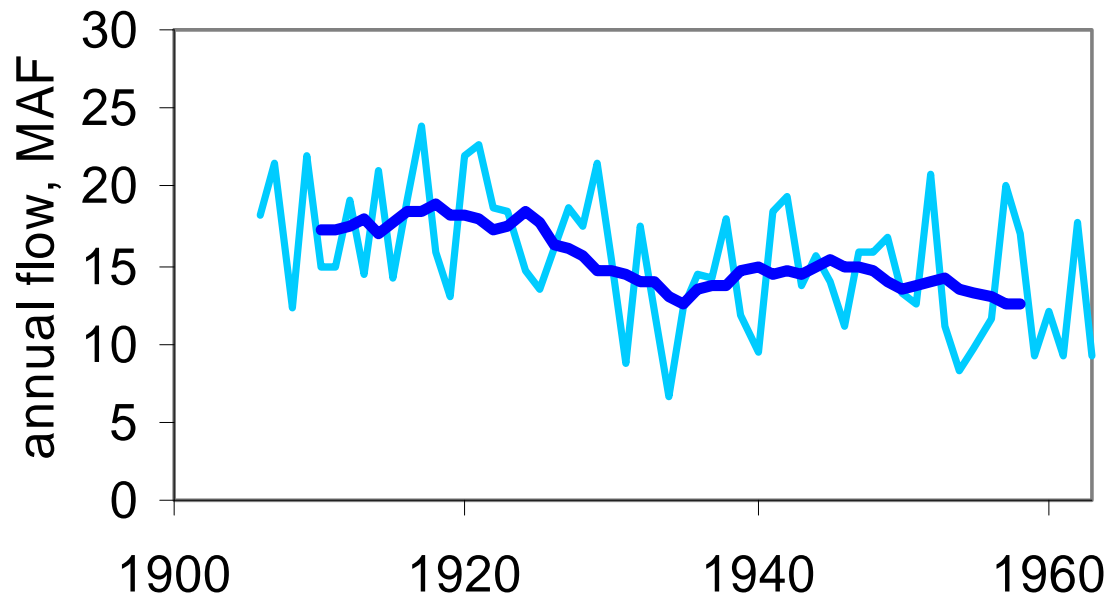
Gaged (natural flow) record, 1906-1930



# How much “hydrologic experience” is enough?

## *Colorado River at Lees Ferry, AZ*

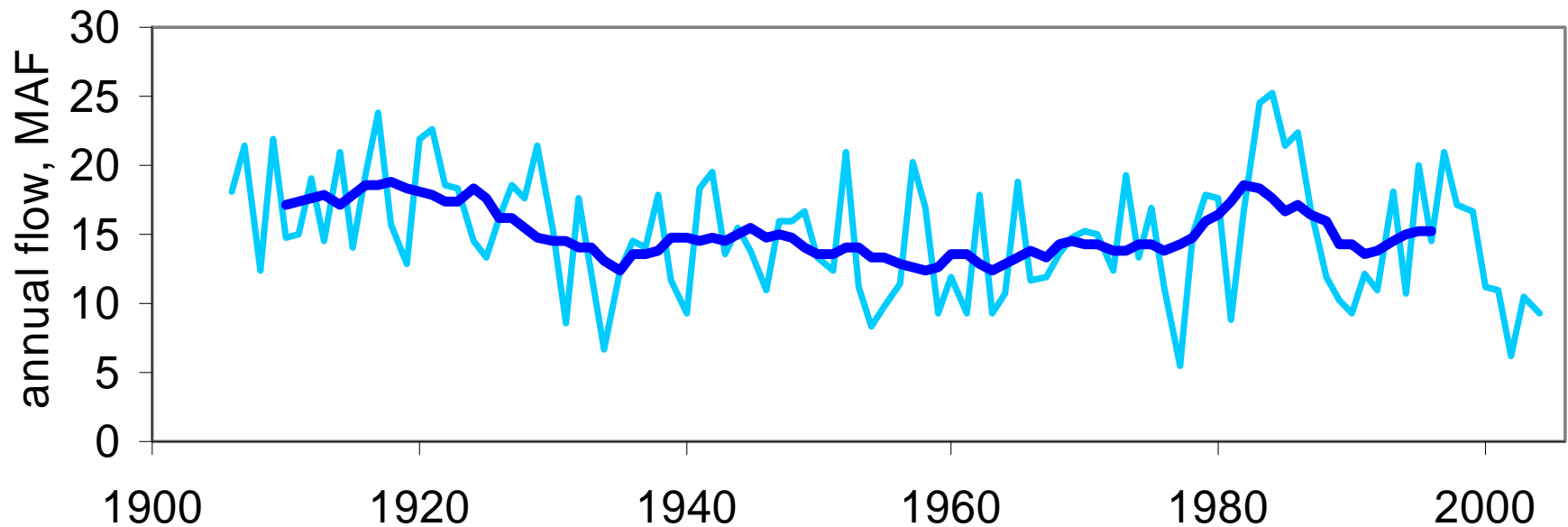
Gaged (natural flow) record, 1906-1963



# How much “hydrologic experience” is enough?

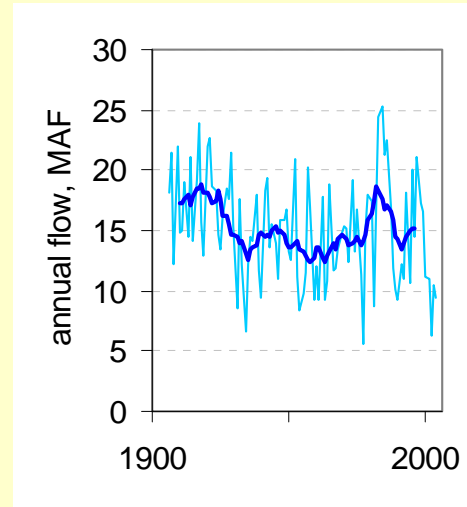
*Colorado River at Lees Ferry, AZ*

Gaged (natural flow) record, 1906-2004



*Even ~100 years of gaged hydrology fails to capture the range of variability*

# Paleohydrology - a surrogate for experience



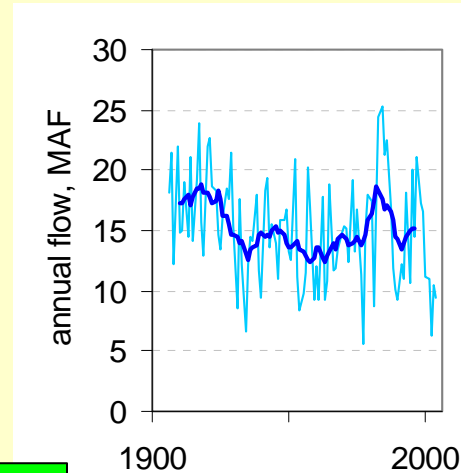
*Colorado at  
Lees Ferry*

**Gaged (natural  
flow) record  
1906-2004**



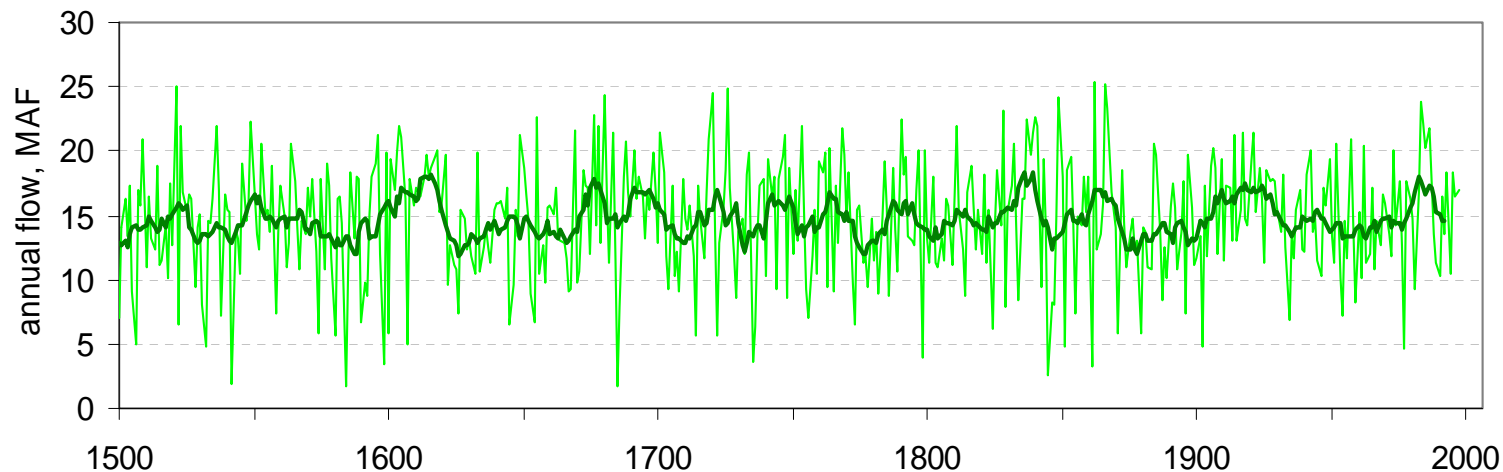
# Paleohydrology - a surrogate for experience

By extending the gaged hydrology by hundreds of years into the past, paleo-records provide a more complete picture of hydrologic variability



*Colorado River  
at Lees Ferry*

**Gaged (natural  
flow) record  
1906-2004**

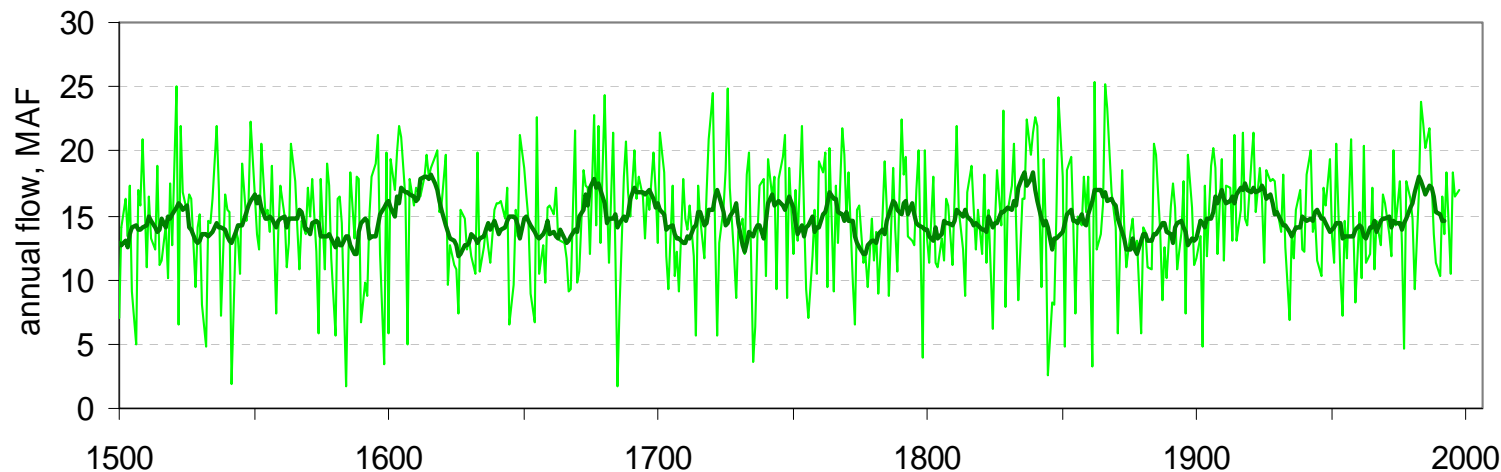
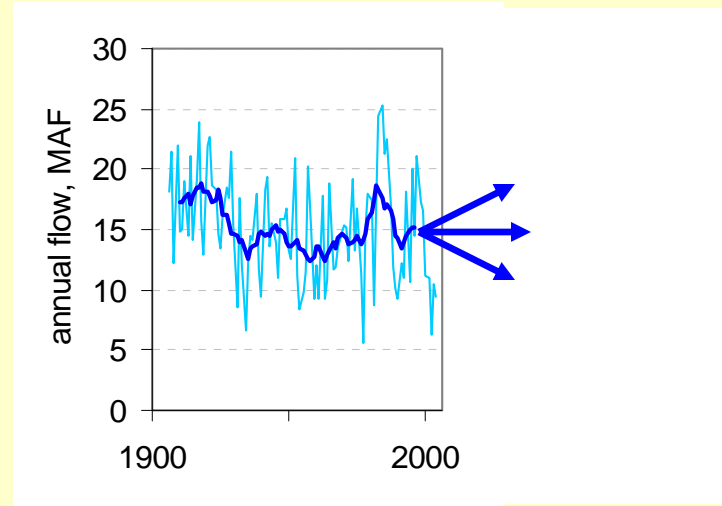


**Tree-ring  
reconstruction  
1490-1997**

# Paleohydrology - a surrogate for experience

## *Benefits:*

- Better *anticipation* (not *prediction*) of future conditions
- Better assessment of *risk*



**Tree-ring  
reconstruction  
1490-1997**

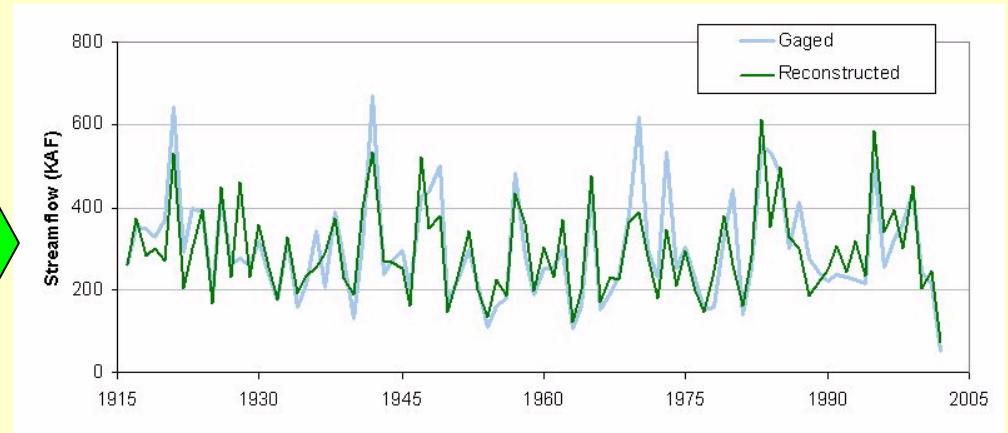
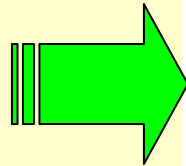
# Attributes of tree rings useful for paleohydrology

- Annual resolution and absolute dating
- Long, continuous records (300-10,000 yrs)
- Widespread distribution
- High sensitivity and fidelity to climate variability

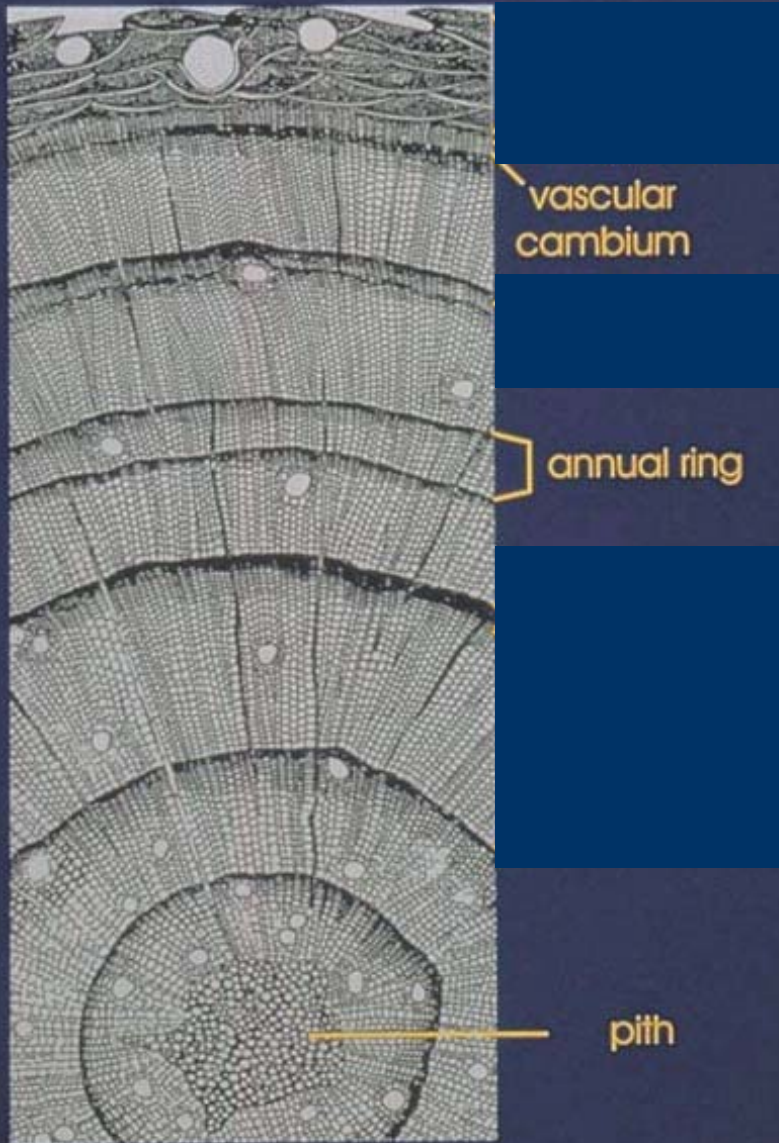


## Part 2:

# Generating streamflow reconstructions from tree rings



## CROSS SECTION of a CONIFER



## The formation of annual growth rings

- New wood forms in the vascular cambium, underneath the bark
- In temperate climates, a growth ring = *annual ring*
- Rings have varying widths when a *limiting factor* on growth varies in magnitude from year to year

# Climate is often the limiting factor on tree growth



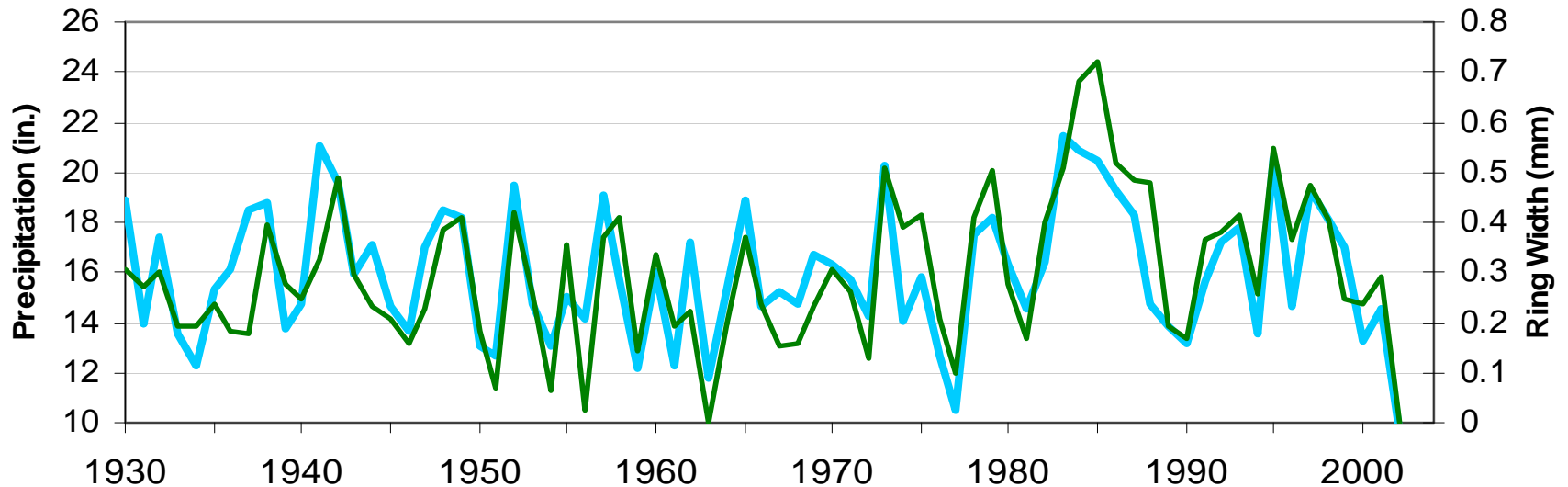
- At high elevations and high latitudes, growth is typically limited by summer warmth and length of the growing season (“temperature-sensitive”)



- At lower and middle elevations in the mid-latitudes, growth is more limited by moisture availability (“moisture-sensitive”)

# The moisture signal recorded by trees in the southwest US is particularly strong

Western CO Annual Precip vs. Pinyon ring width (WIL731)

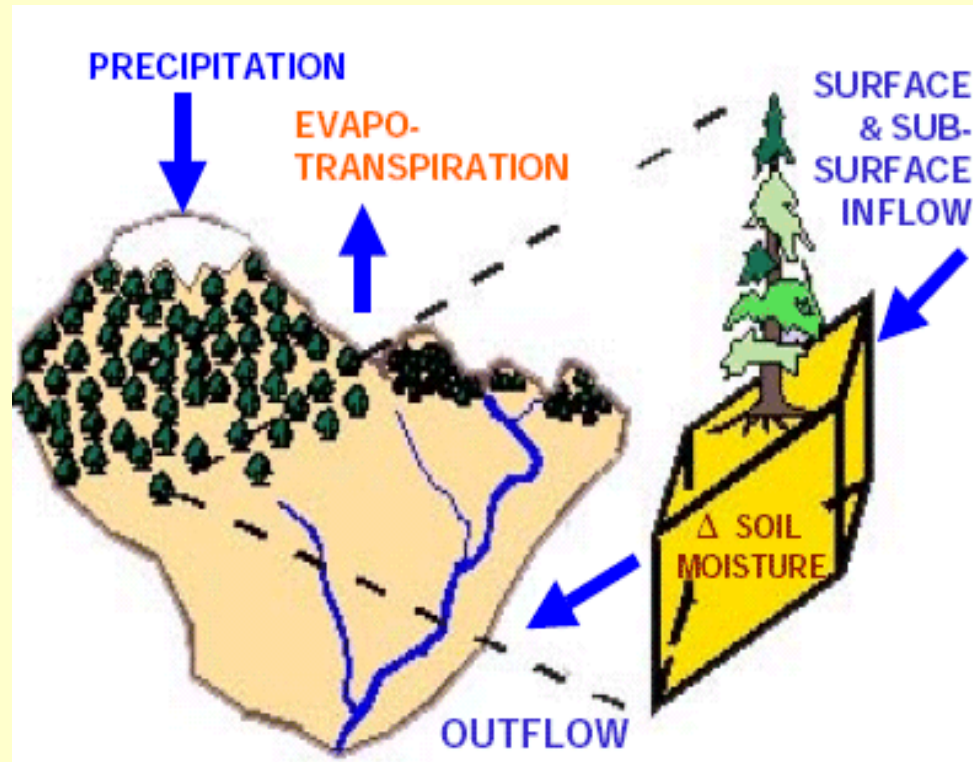


- The “raw” ring widths from *one* tree are very closely correlated with annual basin precipitation ( $r = 0.78$ ) from 1930-2002
- Our job is to *capture and enhance* the moisture signal, and reduce noise, through careful sampling and data processing



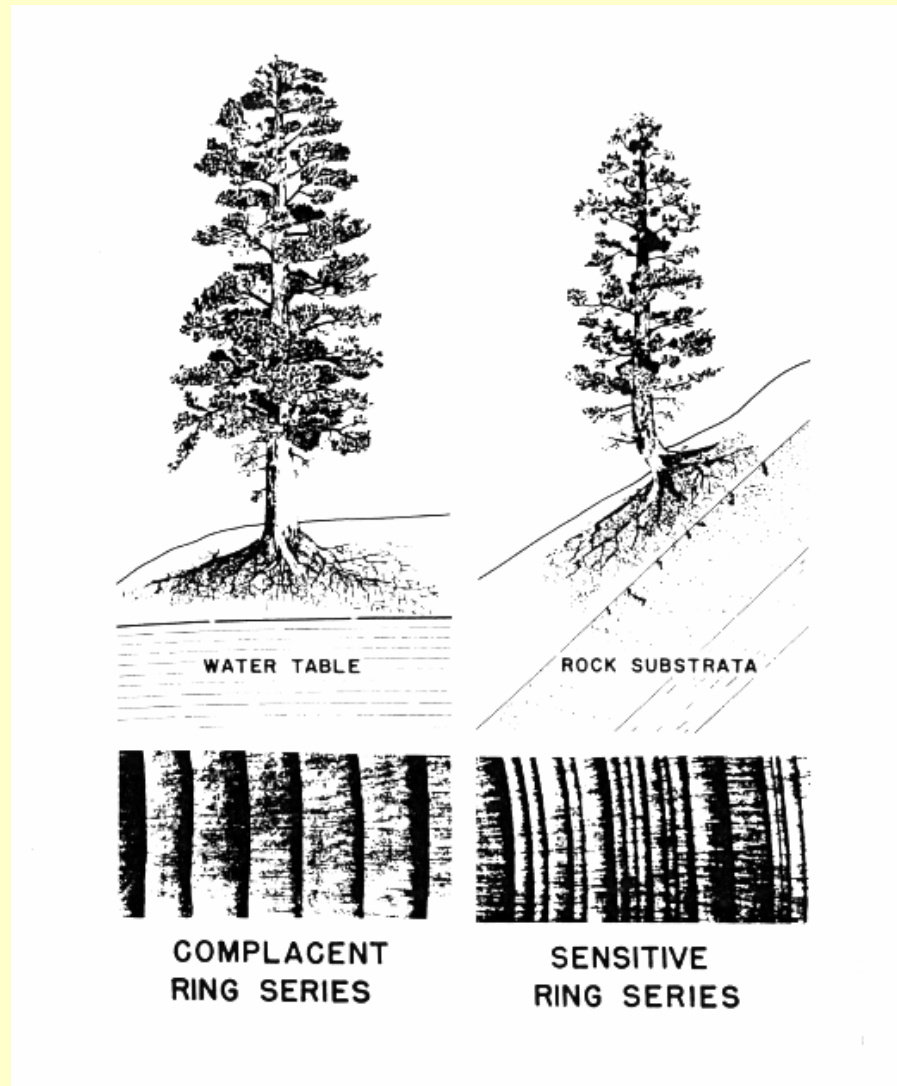
# Ring-width and streamflow - an indirect but robust relationship

- Like ring width, streamflow integrates the effects of precipitation and evapotranspiration, as mediated by the soil





Stressful sites produce ring series with greater sensitivity (higher signal:noise ratio)



from *Fritts 1976*

# Sampling the trees for a site *chronology*

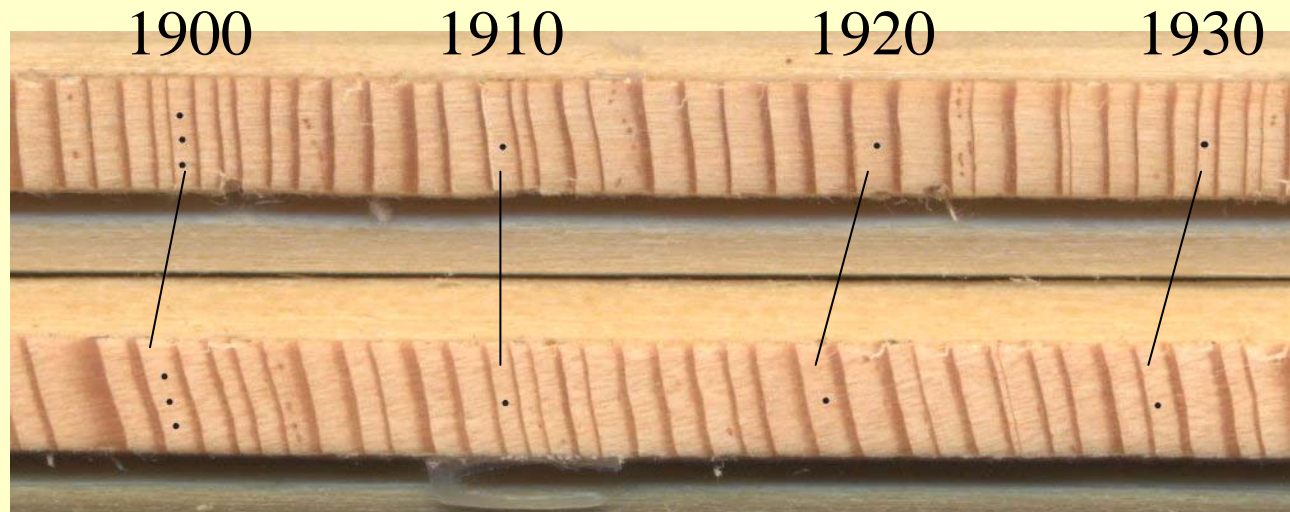


- Core 10-30+ trees at a site, same species
- Goal: maximize the number of cores throughout the chronology (300-800+ years)
- Can also core or cut cross-sections from dead trees



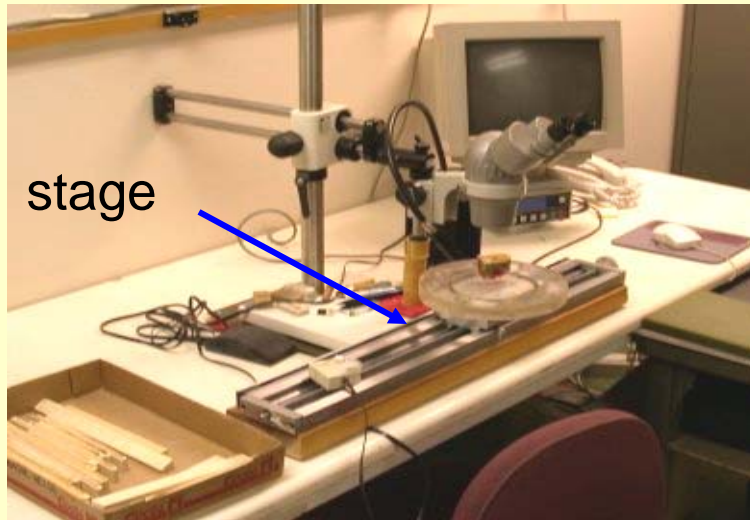
# Crossdating the samples

- Because of the common climate signal, the pattern of wide and narrow rings is highly replicated between trees at one site, and between nearby sites
- This allows *crossdating*: the assignment of absolute dates to annual rings (not just ring-counting)

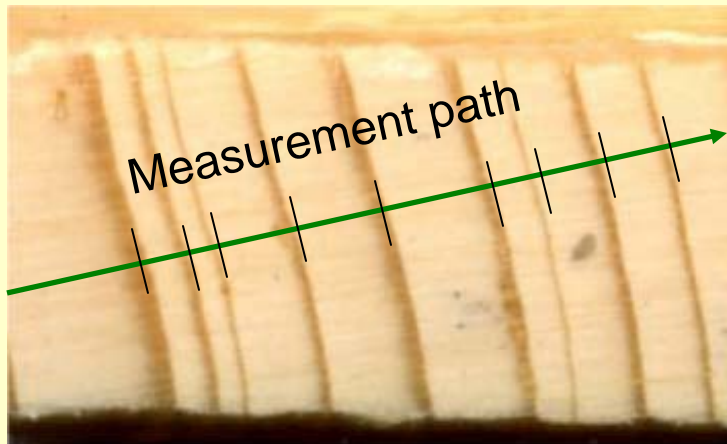


Two  
Douglas-fir  
trees south  
of Boulder,  
CO

# Measuring the samples



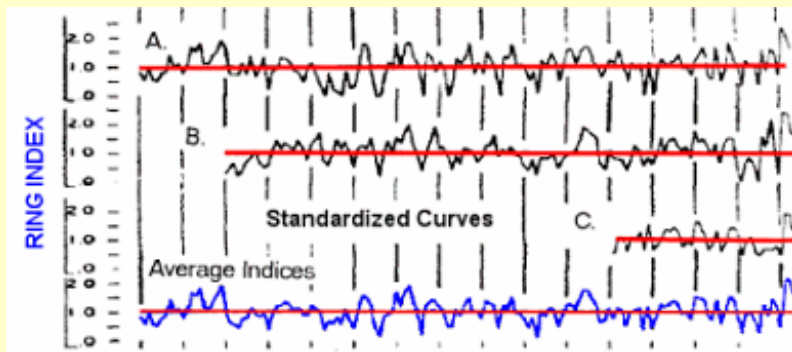
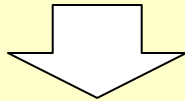
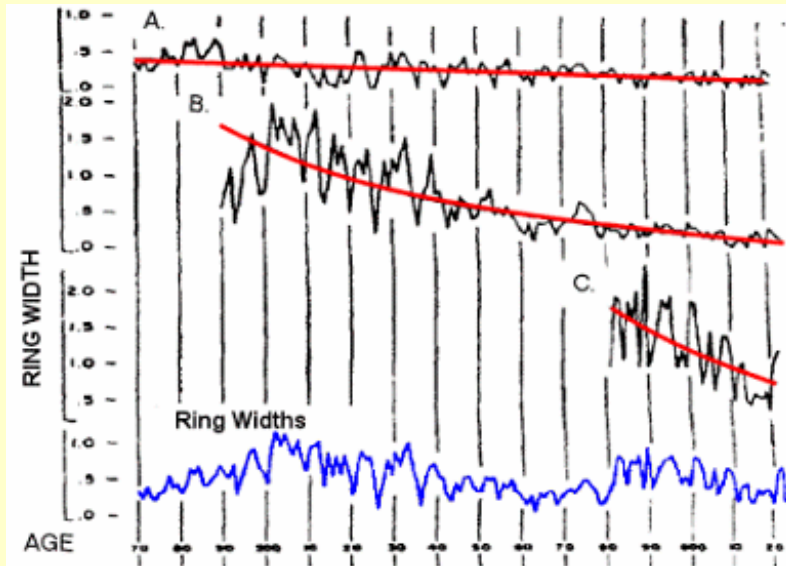
- Computer-assisted measurement system with sliding stage
  - captures position of core to nearest 0.001mm (1 micron)



- Measurement path is parallel to the rows of cells (and perpendicular to the ring boundaries)

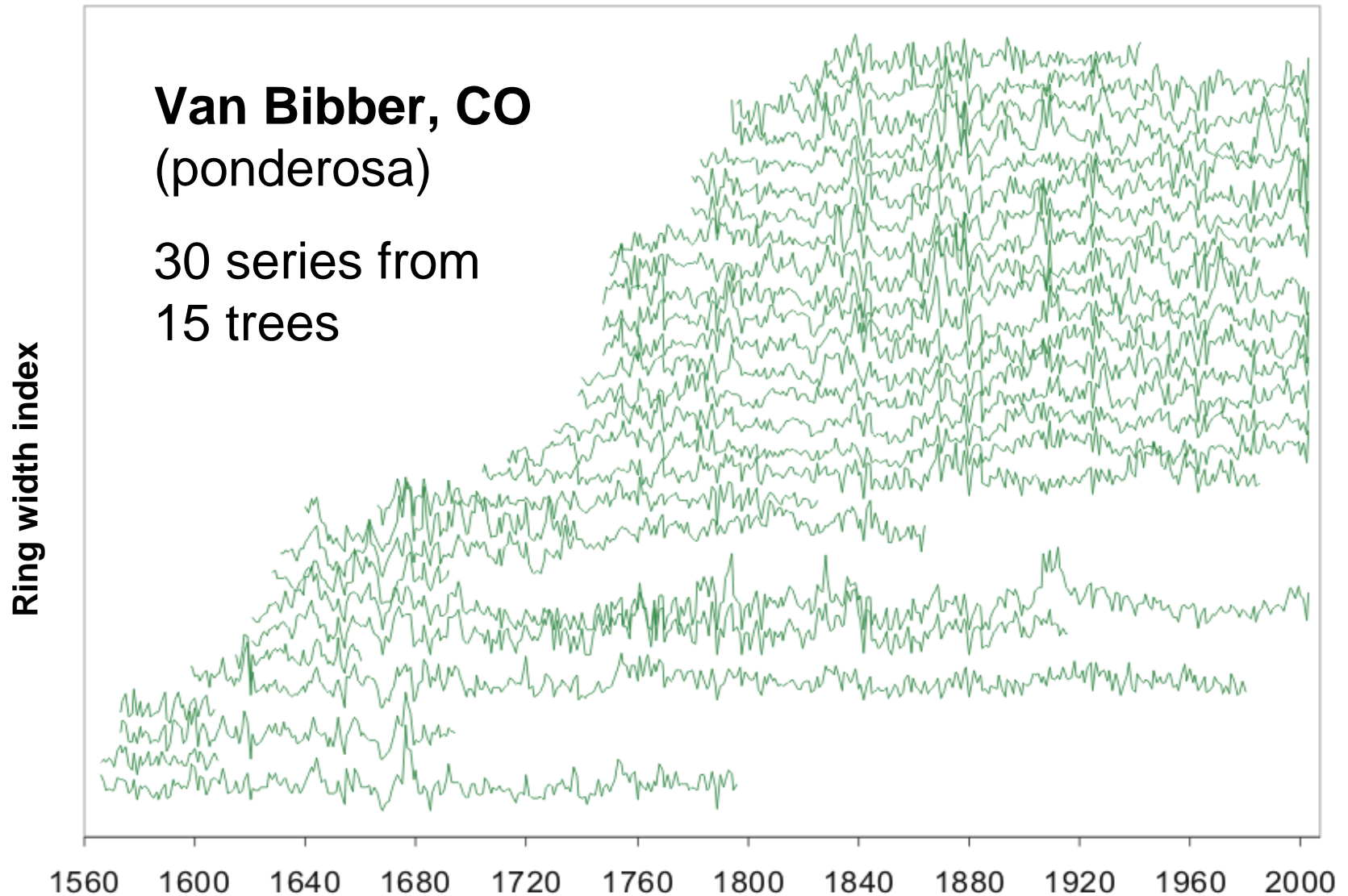


# Detrending the measured ring-width series

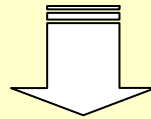
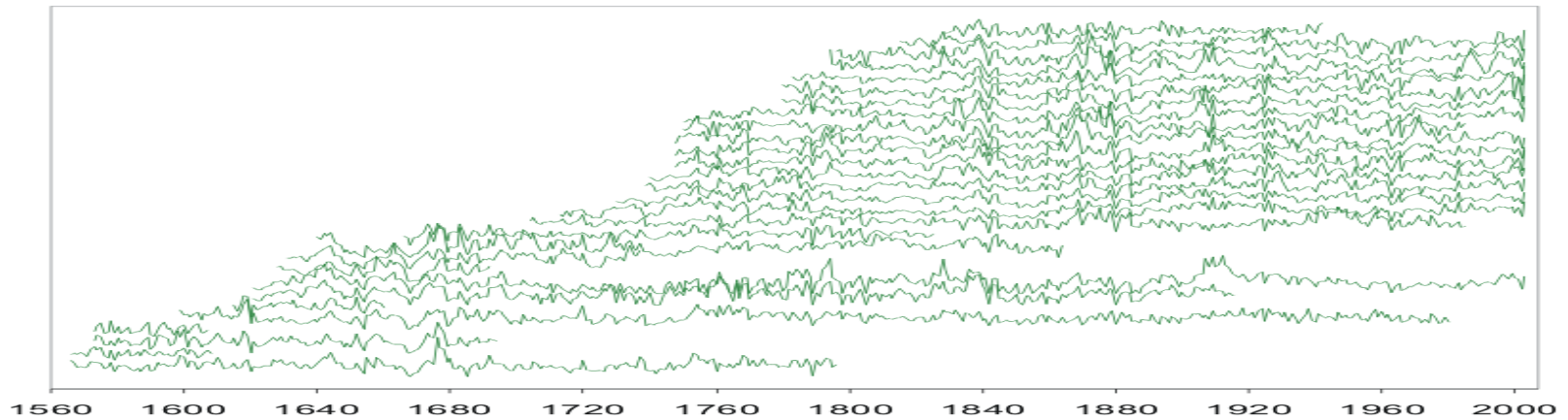


- Ring-width series typically have a declining trend with time because of changing tree geometry and aging
- These are low-frequency *noise* (i.e. non-climatic)
- Measured ring series are detrended to remove the growth trend
- These *standardized* series are compiled into the site chronology

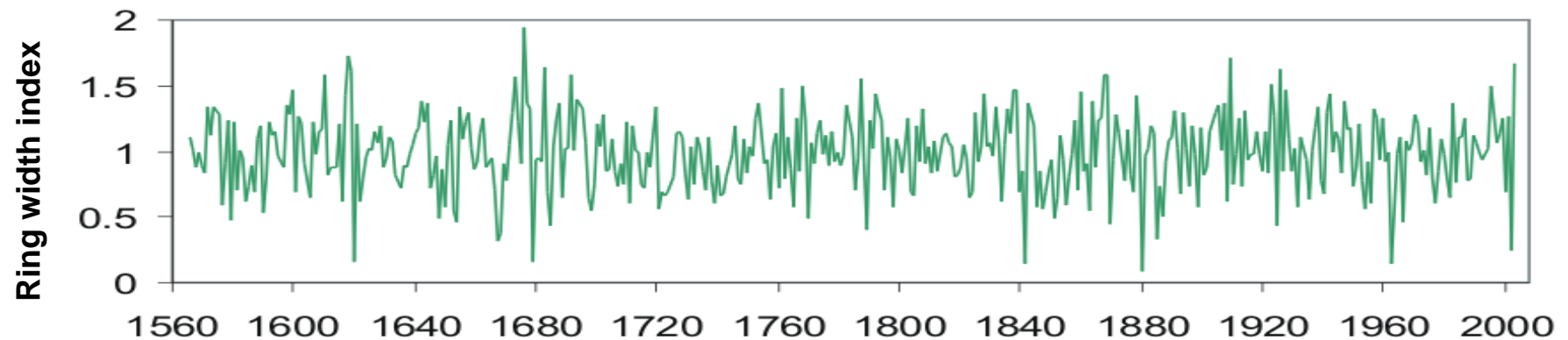
By compiling the measurements from many trees...



...we enhance the common (climate) signal in the resulting site chronology

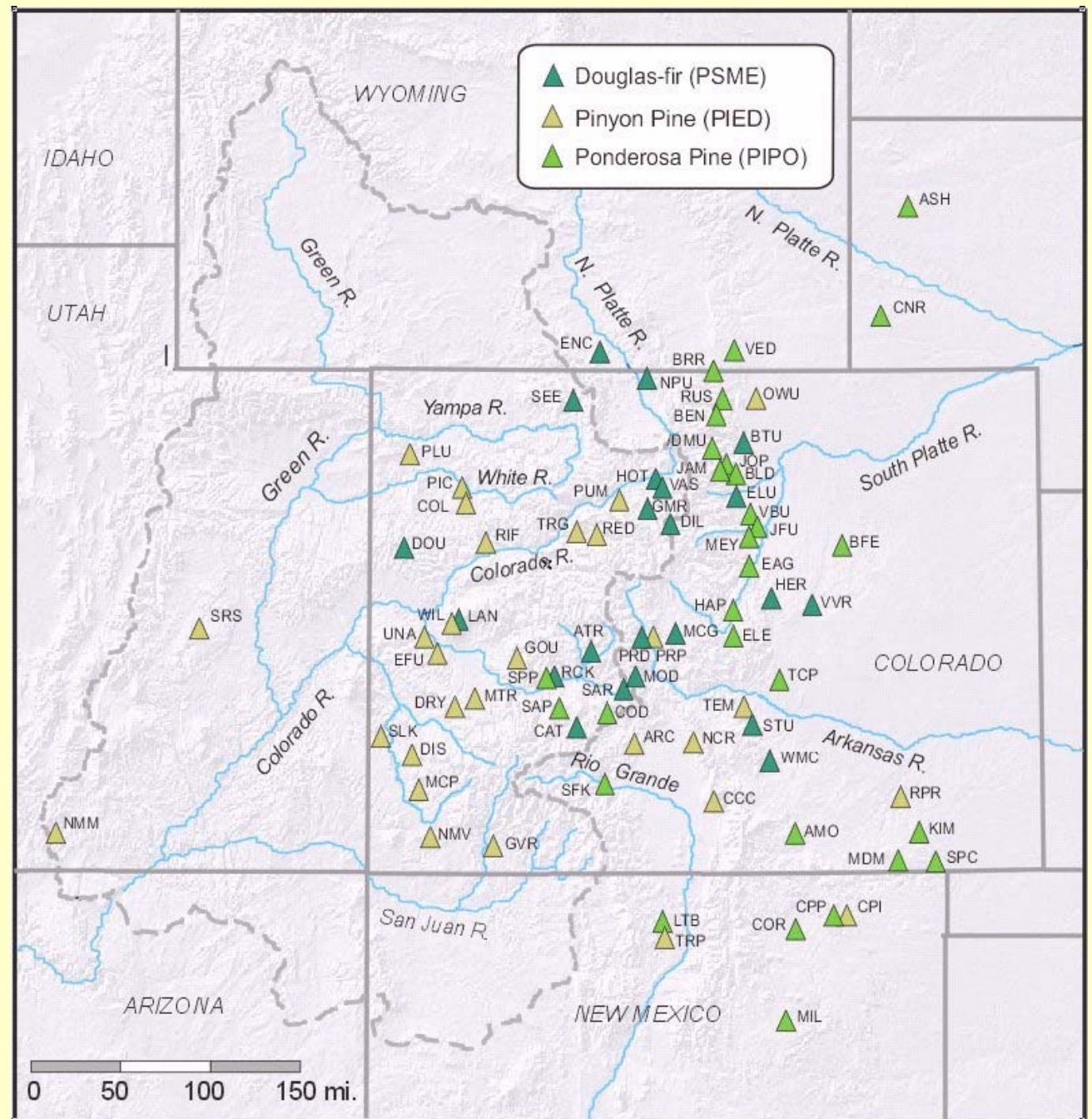


Robustly weighted mean





Moisture-sensitive  
chronologies  
developed  
2000-2006 by  
CU-INSTAAR  
Dendro Lab

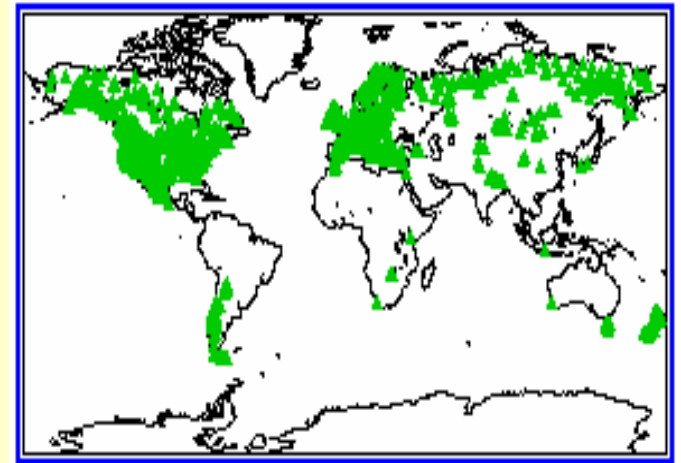
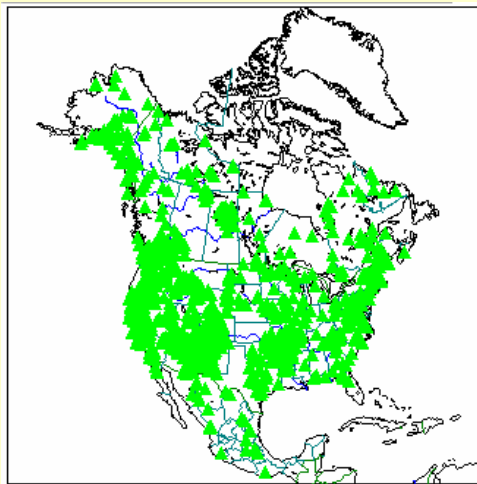
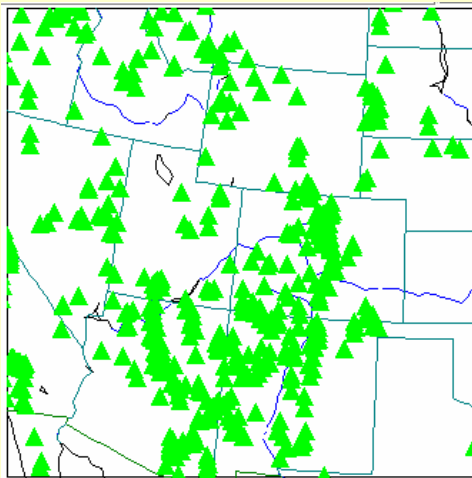




# The larger world of tree-ring chronologies

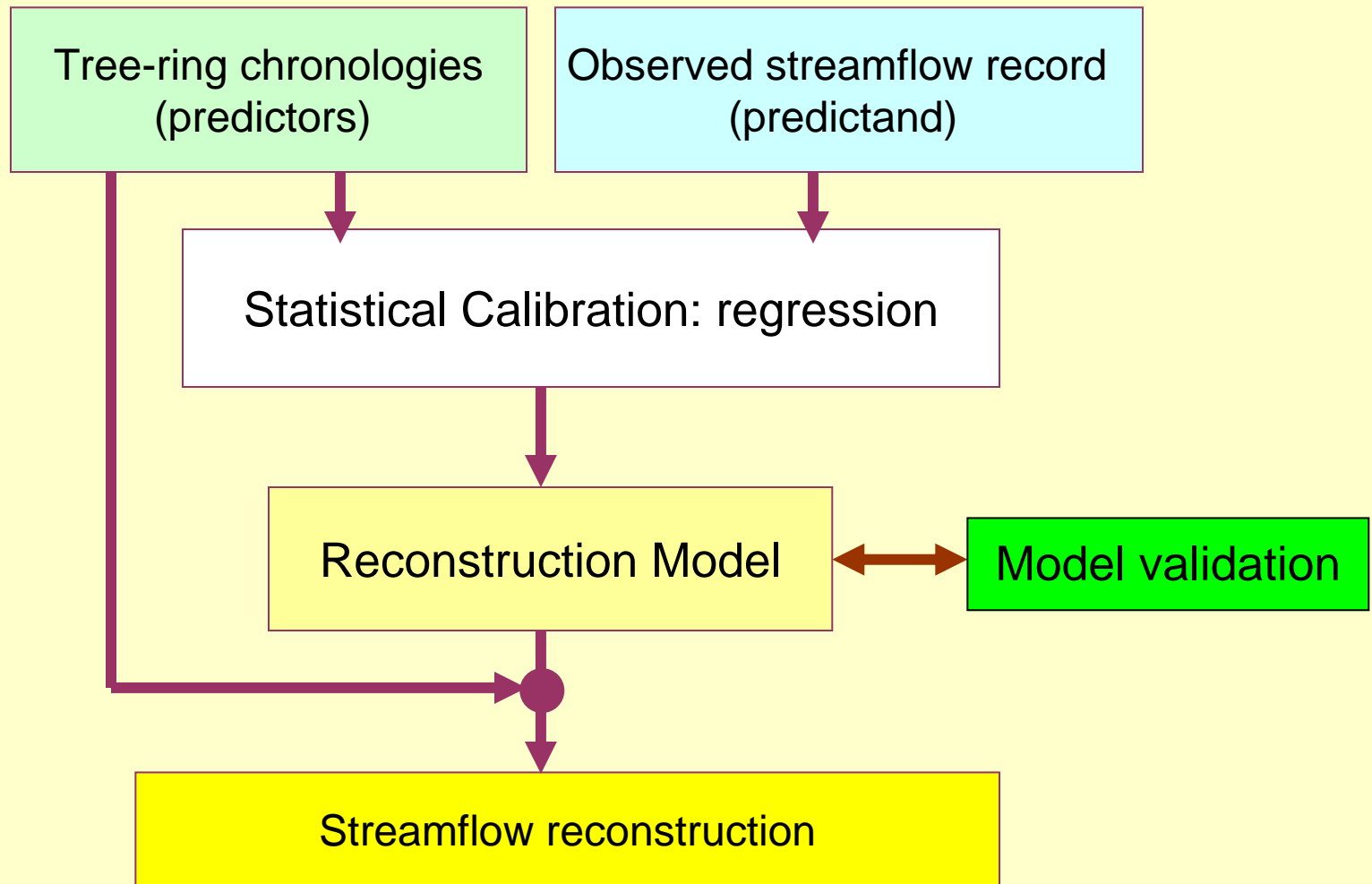
International Tree-Ring Data Bank (ITRDB)

<http://www.ncdc.noaa.gov/paleo/treering.html>



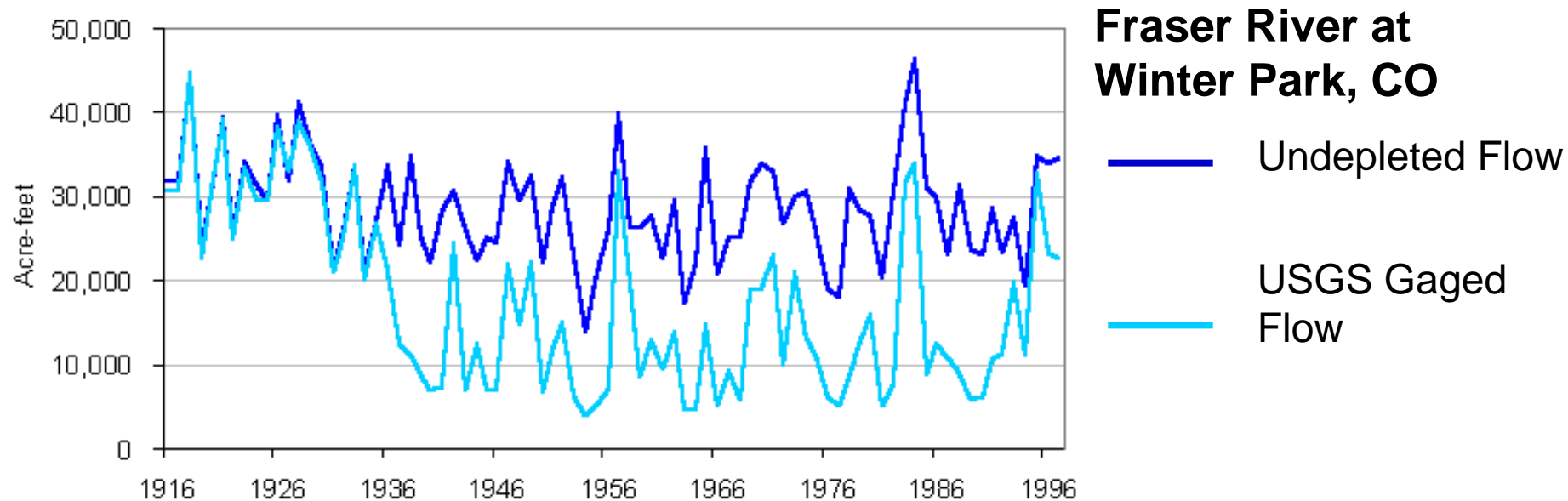
- 2500 chronologies contributed from all over the world
- Can be searched by moisture-sensitive species, location, years

# Overview of reconstruction methodology



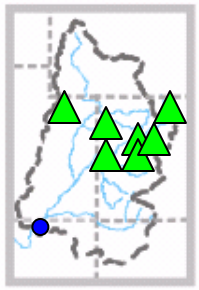
# Requirements for observed streamflow record

- **Length** – minimum 50 years for robust calibration with tree-ring data
- **Natural/undepleted record** – must be corrected for depletions, diversions, evaporation, etc.



- *The reconstruction can only be as good as the flow record on which it is calibrated*

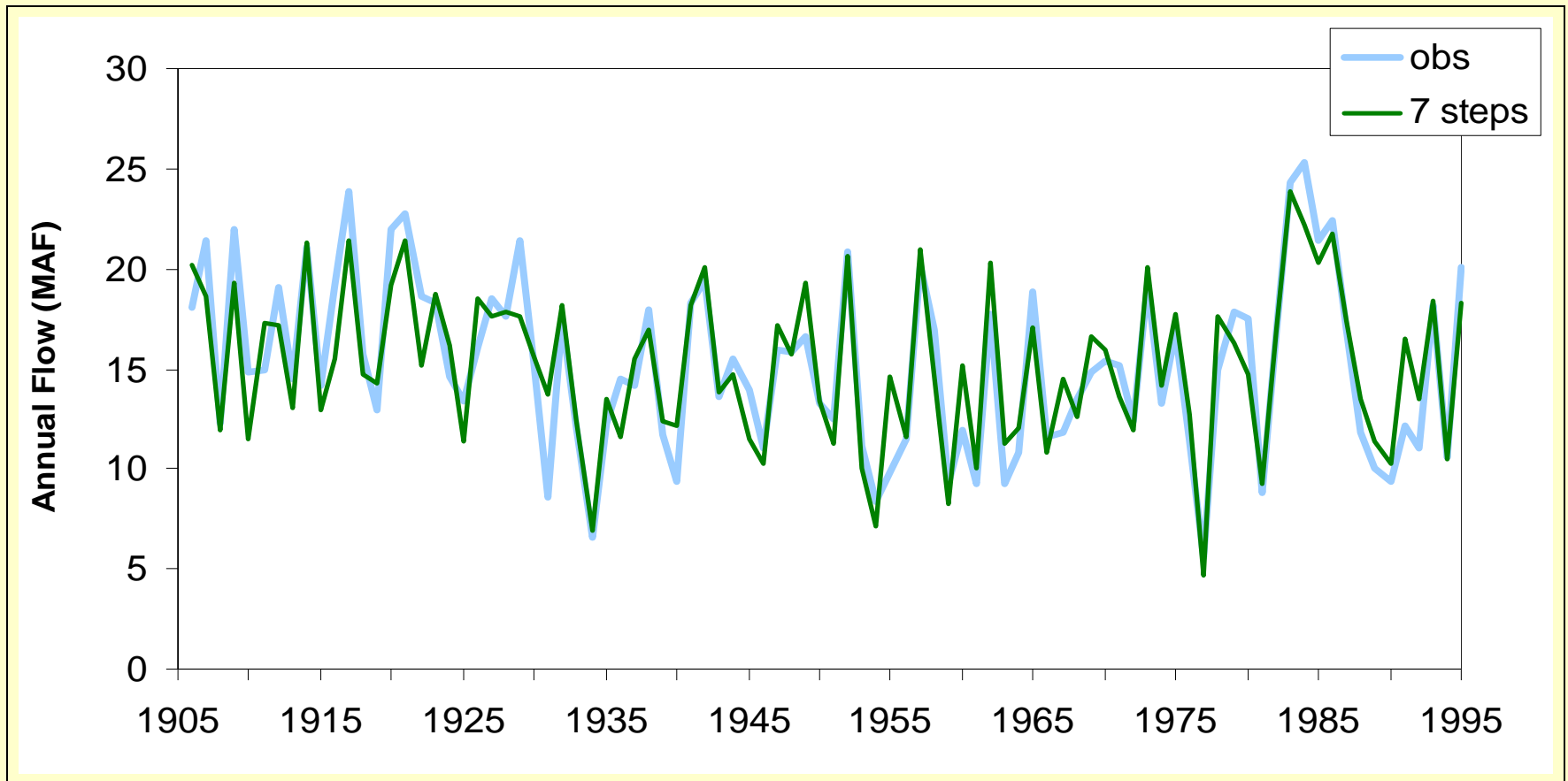
# Colorado at Lees Ferry - forward stepwise regression



Variance Explained

**81%**

TRG + WIL + DJM + DOU + NPU + RED + PUM



# How does the model validate on data not used to calibrate the model?

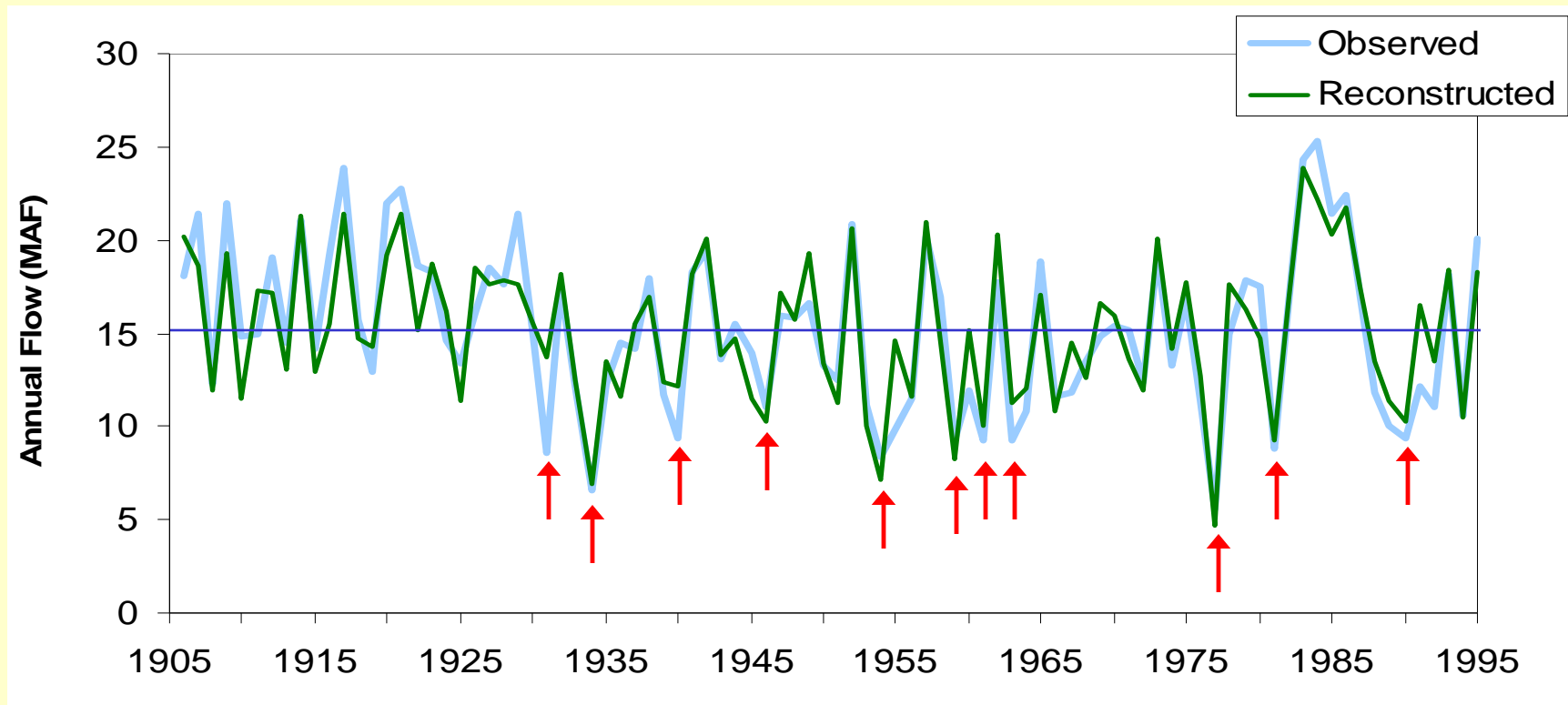
Validation statistics – based on withheld data or data generated in cross-validation process, compared to observed data

Gage	Calibration	Validation
	R <sup>2</sup>	RE*
Boulder Creek at Orodell (CO)	0.65	0.60
Rio Grande at Del Norte (CO)	0.76	0.72
Colorado R at Lees Ferry (AZ)	0.81	0.76
Gila R. near Solomon (AZ)	0.59	0.56
Sacramento R. CA)	0.81	0.73

R<sup>2</sup> and RE should be similar, and ideally above 0.50, though much above 0.80 suggests overfitting

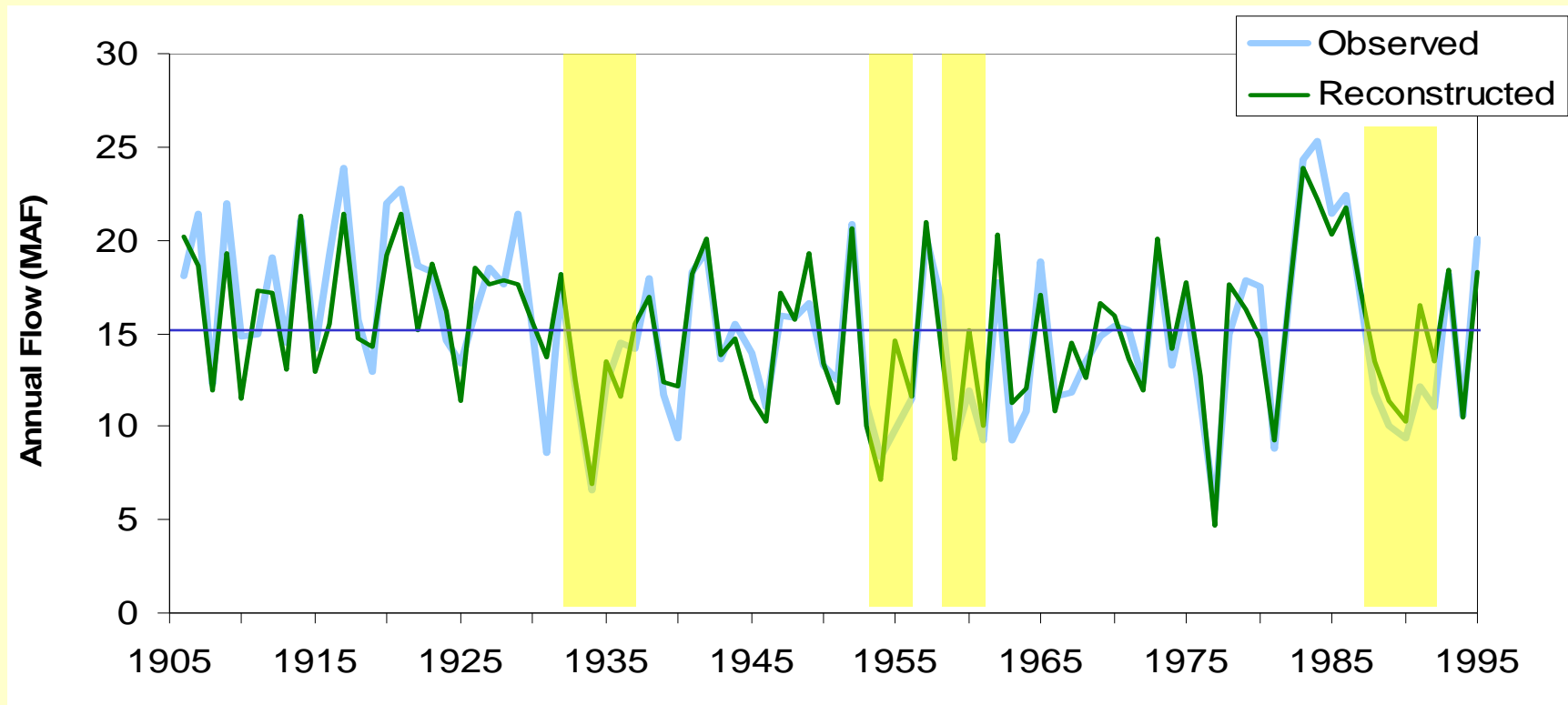
\*RE is Reduction of Error statistic; tests model skill against “no knowledge”

# Subjective assessment of model quality



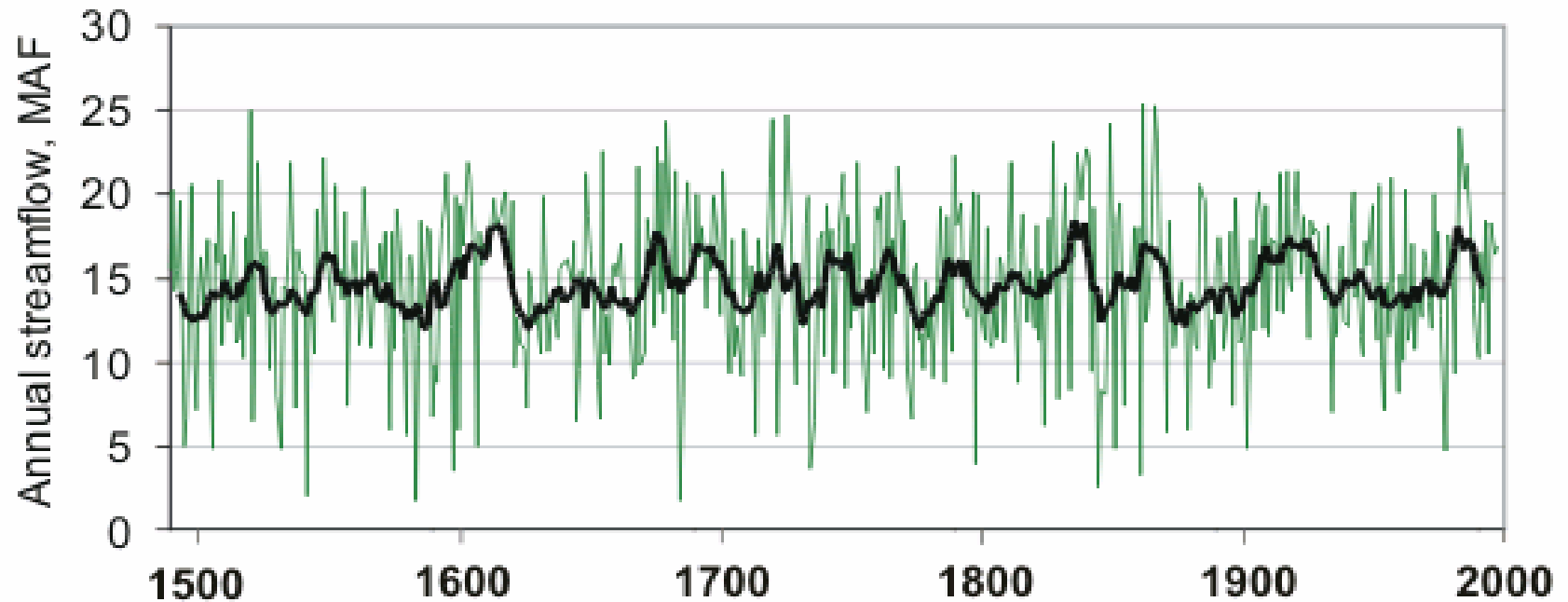
- Are severe drought years replicated well, or at least correctly classified as drought years?

# Subjective assessment of model quality



- Are the lengths and total deficits of multi-year droughts replicated reasonably well?

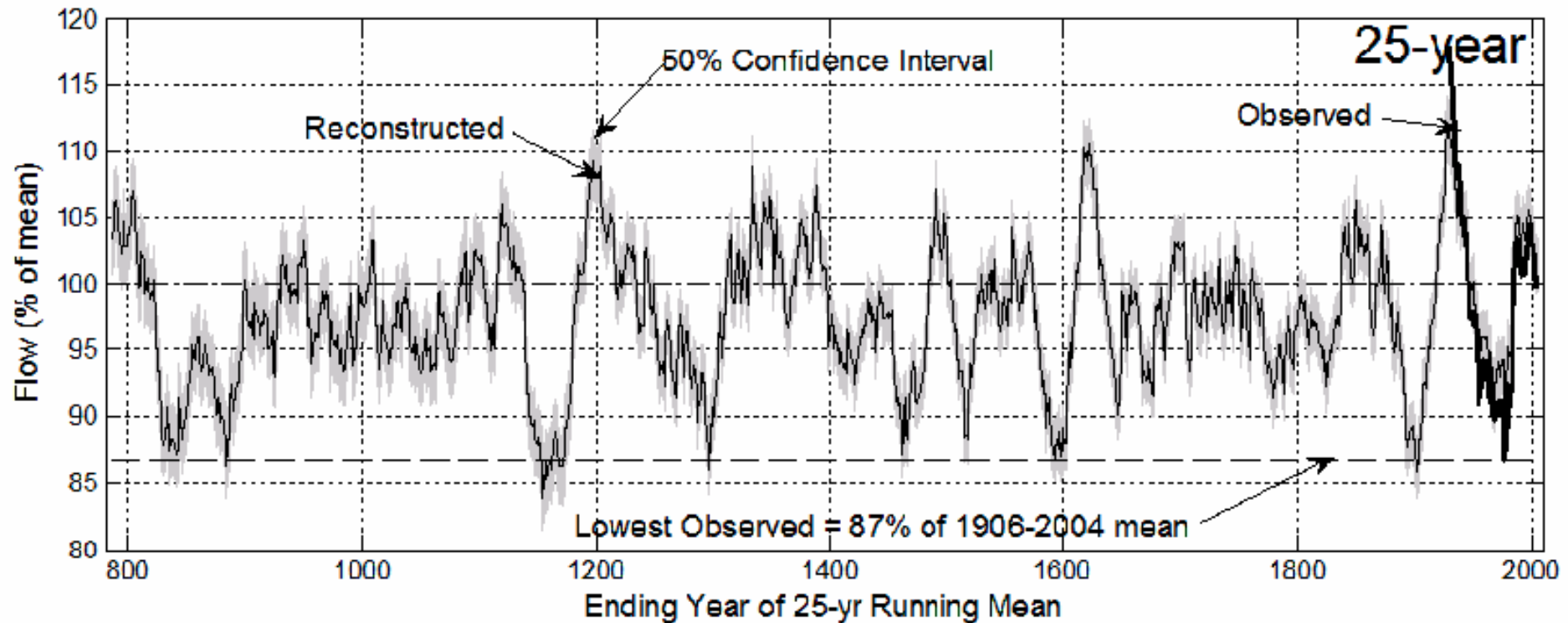
## Full Colorado R. at Lees Ferry streamflow reconstruction, 1490-1997 (Woodhouse et al. 2006)



- Annual values in green, 10-year running mean in black



# Meko et al. 2007 - Colorado River at Lees Ferry, AD 762 - 2005



25-yr running means of reconstructed and observed annual flow of the Colorado River at Lees Ferry, expressed as percentage of the 1906-2004 observed mean.

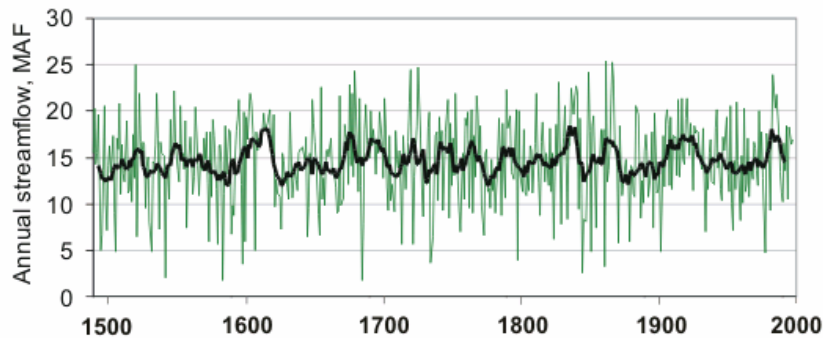
From: Meko et al. 2007. Medieval Drought in the Upper Colorado River Basin, *Geophysical Research Letters*

# Uncertainty in the reconstructions

- Tree-ring data are imperfect recorders of climate and streamflow, so there will always be uncertainty in the reconstructed values
- The statistical uncertainty in the reconstruction model can be estimated from the validation errors (RMSE)
- The reconstructed flows are also sensitive to the decisions made in the data selection and modeling
- *A reconstruction is a plausible estimate of flows using a given set of data and modeling decisions; there is no one “right” reconstruction (though higher RE values usually indicate a better one)*

## Part 3:

# How reconstructions are being used in water management in the western US



Reconstruction data

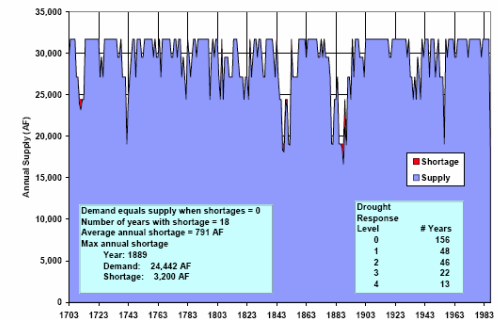
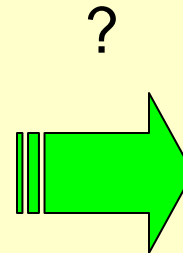


Figure 5. Demands & Supplies: 15% Reduced Flow Hydrology, Current Trends Scenario (demand = 31,700 AF/year).

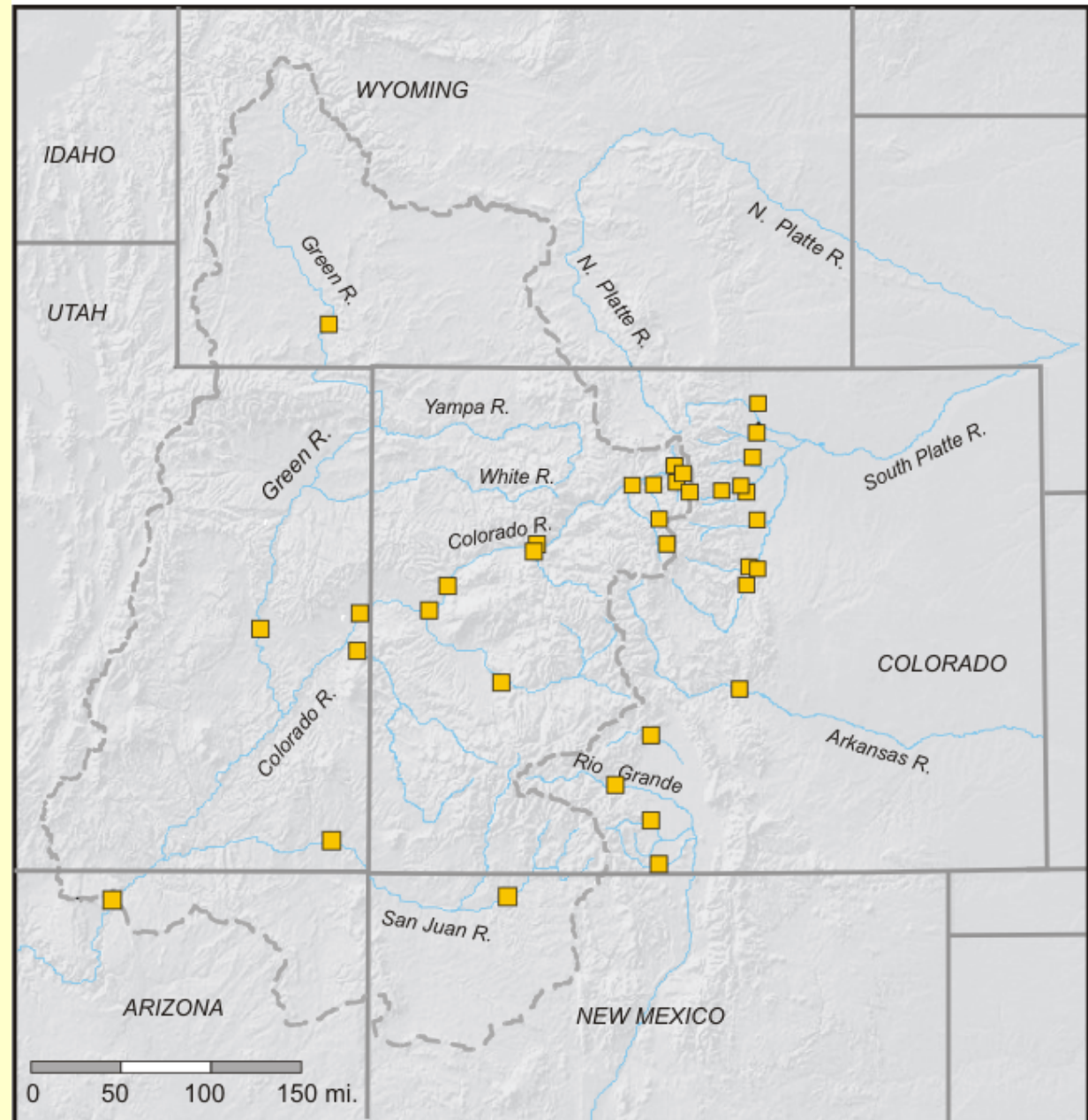
Policy analysis

# New (>2000) tree-ring reconstructions of streamflow

- Over 30 reconstructions, representing nearly all of the streamflow leaving Colorado

And 4 reconstructions for central AZ

(Meko & Hirschboeck)



# Who's using these streamflow reconstructions?

## Colorado

Denver Water

Northern Colorado Water Conservancy District

Colorado River Water Conservation District

Rio Grande Water Conservation District

*U.S. Bureau of Reclamation – Aspinall Unit*

City of Boulder

City of Westminster

## Arizona

Salt River Project (Phoenix)

City of Chandler

## California

California Department of Water Resources

## Multi-state

*U.S. Bureau of Reclamation – Upper and Lower  
Colorado*

# Using the reconstructions - two degrees of difficulty

1) Provide long-term context for the gage record

- can be qualitative or quantitative

2) Input into a model to assess management scenarios

- requires further processing of the reconstruction data if operational system model is used
- creates more effective communication of risk

## 2) Reconstructions as input into models, to assess management scenarios - three examples from the western US

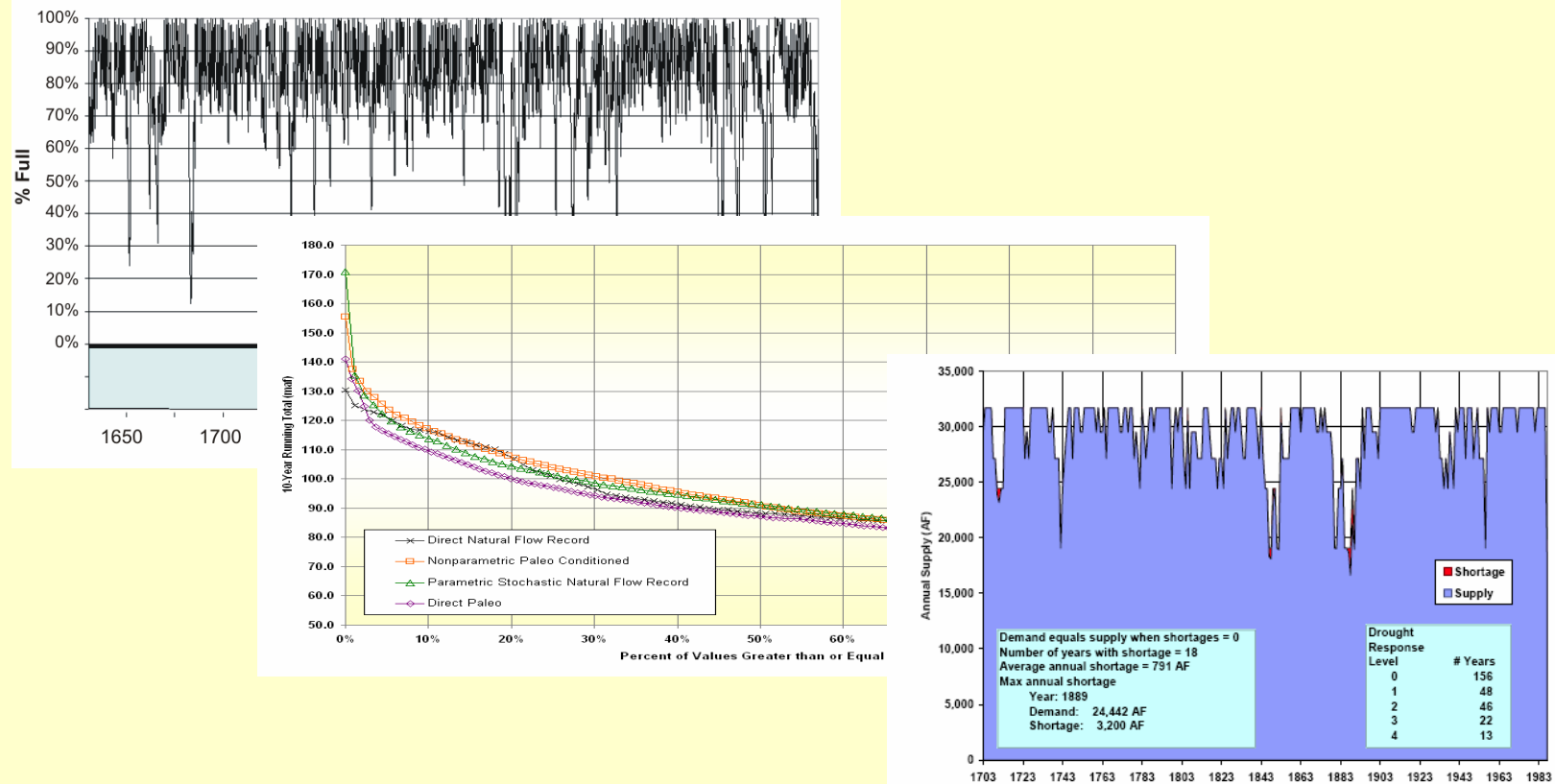


Figure 5. Demands & Supplies: 15% Reduced Flow Hydrology, Current Trends Scenario (demand = 31,700 AF/year).



# Denver Water - water supply yield analyses

## **Challenge:**

Denver Water's Platte and Colorado Simulation Model (PACSM) requires daily model input from 450 locations

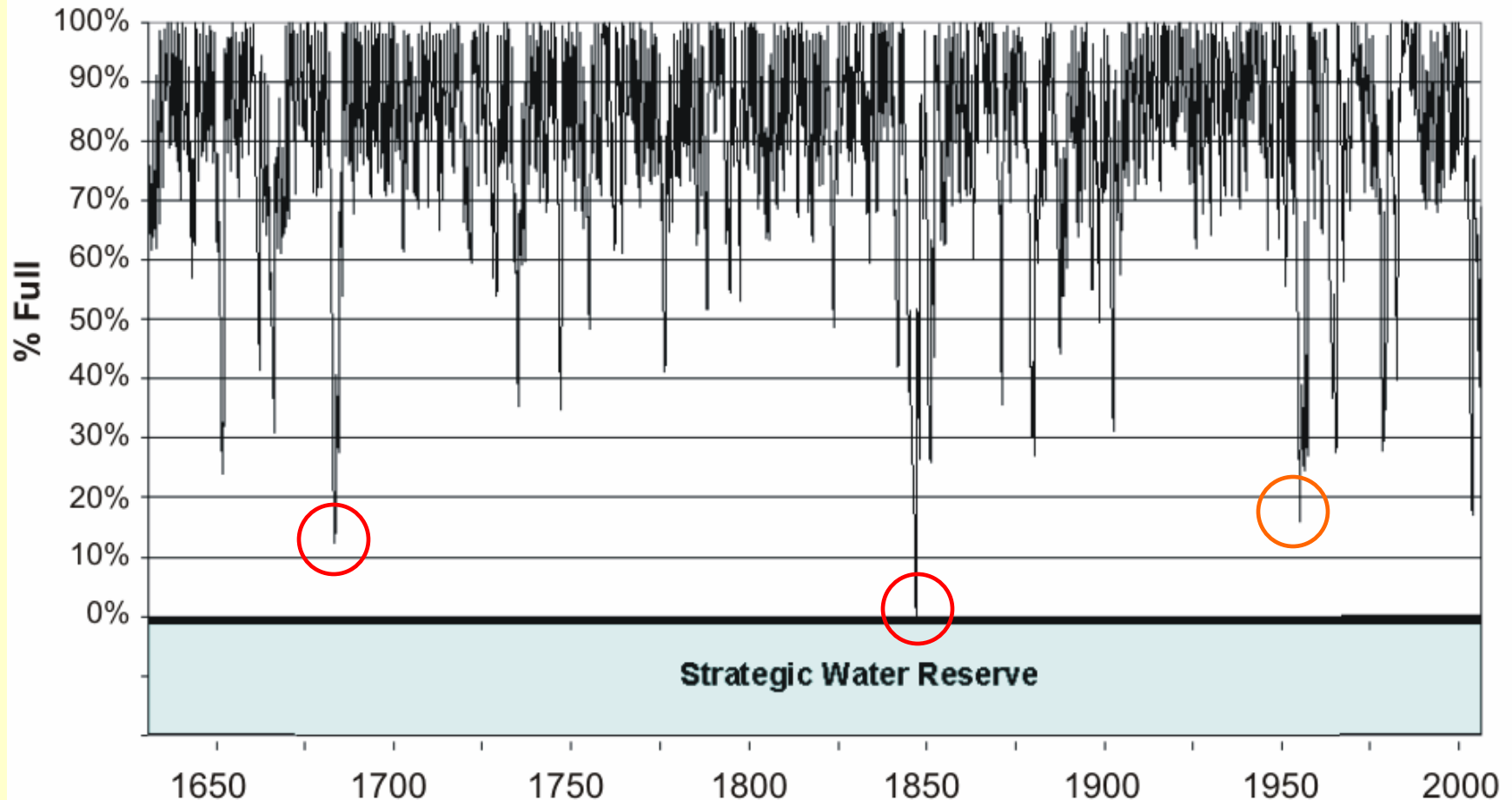
## **Solution:**

An “analogue year” approach

- Match each year in the reconstructed flows with one of the 45 model years (1947-1991) with known hydrology (e.g., 1654 is matched with 1963), and use that year's hydrology.
- Years with more extreme wet/dry values are scaled accordingly
- Data are assembled as new sequences of model years
- PACSM is used to simulate the entire tree-ring period, 1634-2002

# Denver Water - water supply yield analyses

Reservoir contents with 345 KAF demand and progressive drought restrictions



- Two paleo-droughts (1680s, 1840s) deplete contents lower than 1950s design drought

# US Bureau of Reclamation - analyses for “Shortage EIS”

## Challenges:

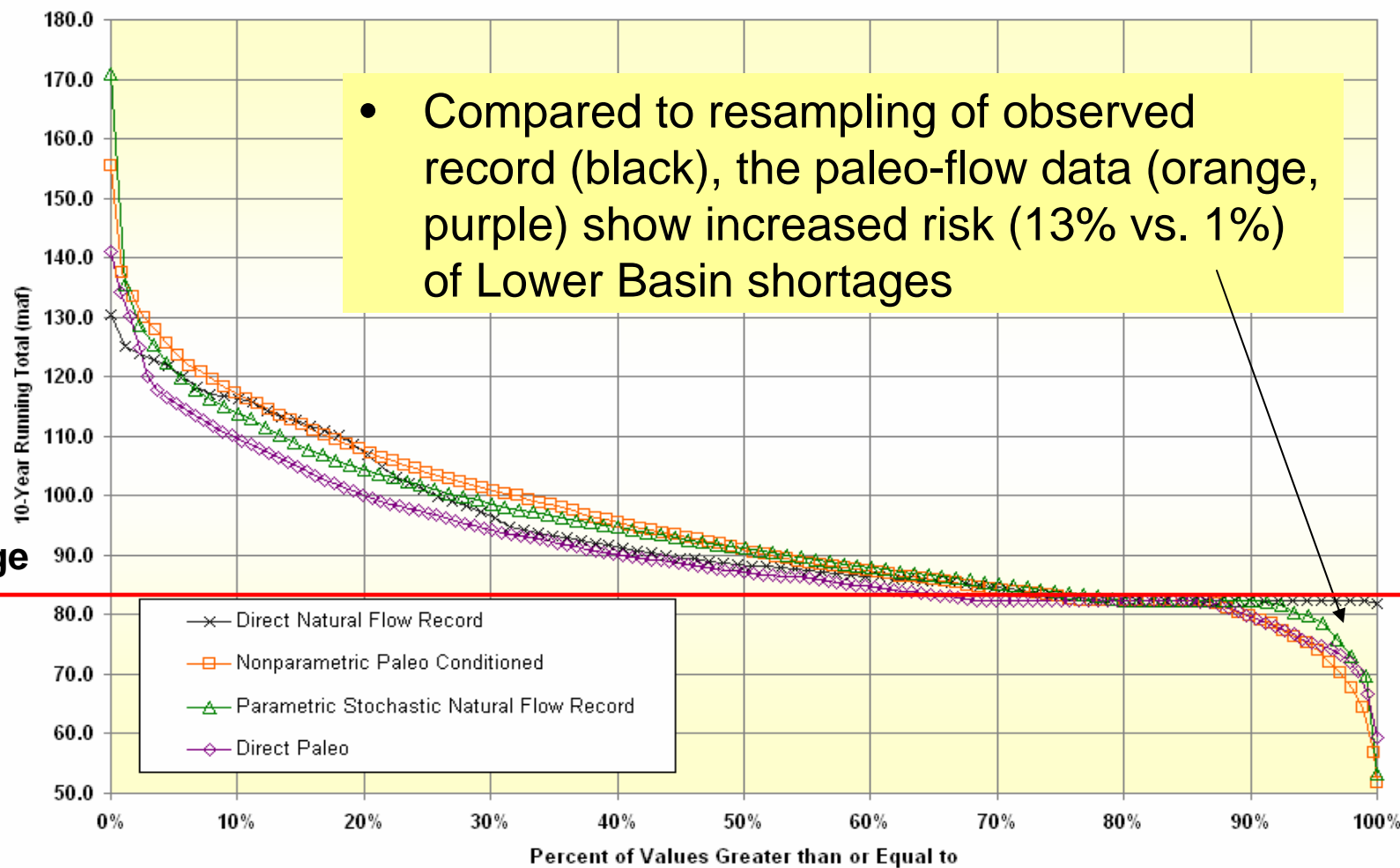
- 1) CRSS model requires monthly inputs at 29 model nodes
- 2) Less trust in reconstructed flow magnitudes than states, need to conservatively incorporate new data

## Solutions:

- 1) Non-parametric disaggregation scheme for extending annual reconstructed flows at one site to all model steps and nodes
- 2) Non-parametric scheme to combine the state information (wet-dry) from the tree-ring data with the observed flow values, thus creating *sequences* (e.g. sustained droughts) not seen in the observed record

# US Bureau of Reclamation - analyses for “Shortage EIS”

## Glen Canyon 10-Year Release Volume No Action Alternative, *Years 2008-2060*



Courtesy of Jim Prairie, USBR

# City of Boulder - water supply yield analyses

## **Challenges:**

- 1) Represent potential effects of climate change on hydrology
- 2) Represent uncertainty in future demand

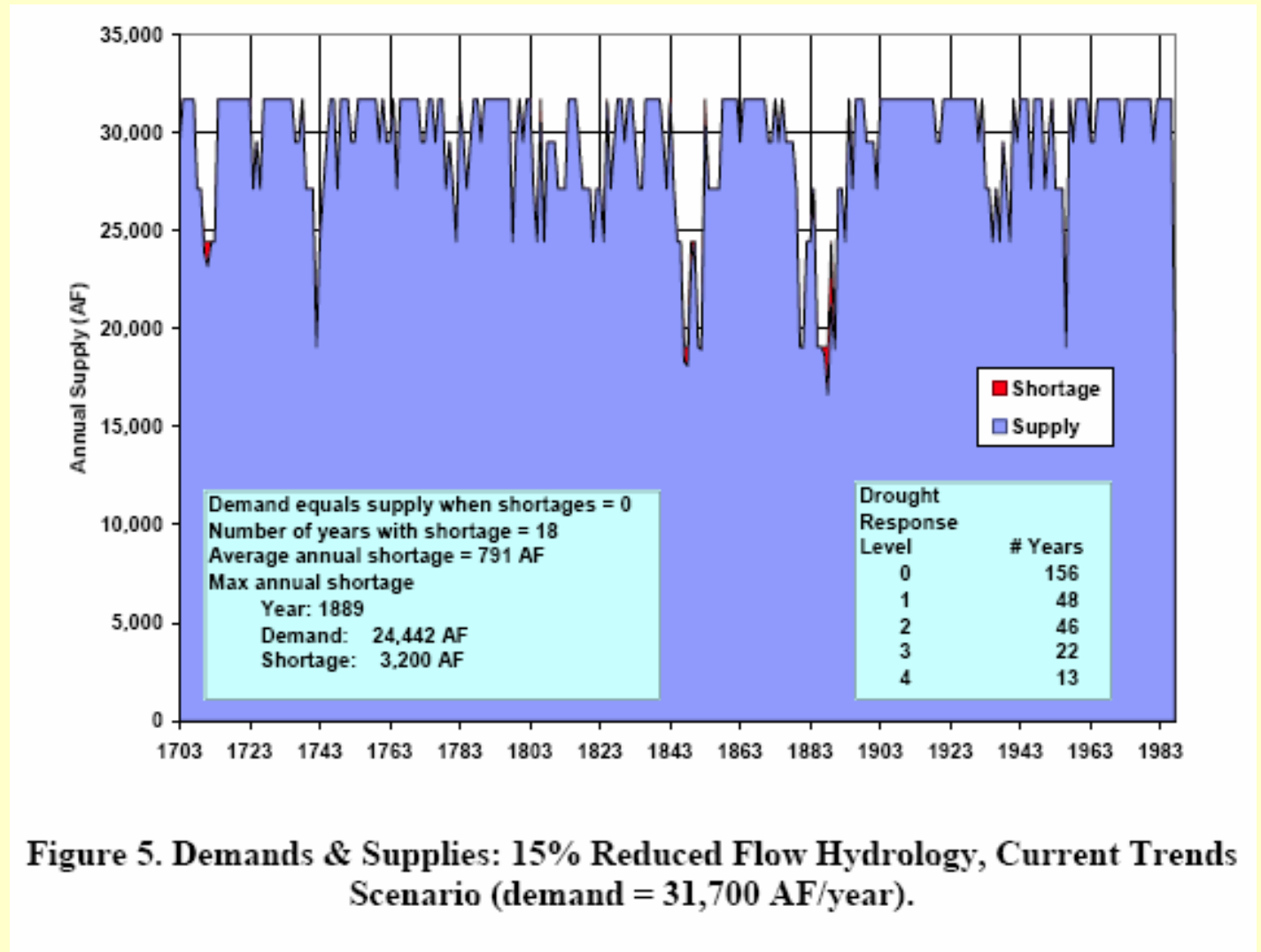
## **Solutions:**

- 1) Reconstructed flows scaled up or down to create different climate change scenarios (3 scenarios)
- 2) Different demand scenarios (4)

# City of Boulder - water supply yield analyses

15% reduced  
flow scenario;  
current trend  
in demand  
scenario;  
stepped  
drought  
restrictions to  
reduce  
demand

Shortages  
modeled  
during 3  
paleo-  
droughts



# How can the past (tree-ring data) be relevant to planning for future climate/hydrology?

- Natural modes of variability will continue to operate alongside human-forced warming trends
- The greater variability seen in the paleohydrologic records can be a useful analogue for future variability
- The most likely changes in future climate (e.g. moderate warming) can be integrated with a tree-ring flow reconstruction in hydrologic modeling to create plausible future scenarios for water management



# Summary

- 1) Tree-ring reconstructions of streamflow are useful in that they provide more “hydrologic experience” without the pain
- 2) Tree growth can be an excellent proxy for streamflow since both variables are often driven by the same climate factors
- 3) A tree-ring based streamflow reconstruction is a best-estimate based on the relationship between tree-growth and gaged flows

# Summary

- 4) The reconstructions (almost) always show drought events more severe/sustained than those in the gaged record
- 5) There are different levels of complexity in applying the reconstructions to water management
- 6) Many water providers in the western US, including Reclamation, are now using tree-ring data to analyze policy alternatives, communicate risk, and assist decision making